

## What Causes Autism? An Epidemiologist's Approach to Searching for Clues

Lisa A. Croen, PhD  
Director, Autism Research Program  
Kaiser Permanente Division of Research

Family Voices of California, April 6, 2011

## What IS an Epidemiologist Anyway?



We don't study bugs!

## Epidemiology is...

- The study of patterns of health and illness **at the population level**
- The identification of risk factors for disease
- It informs public health prevention strategies
- Ultimately leads to optimal treatment approaches **at the individual level**

## Overview of Talk

- Definition of autism
- Descriptive epidemiology
  - Rates, trends
  - Demographic characteristics
- Risk factors
  - Genetic factors
  - Environmental factors
- Exciting, ongoing research

## What is autism?

A brain disorder that results in a pattern of unusual development affecting an individual early in life and usually lasting throughout the lifespan

## Core Features of Autism

- Communication impairments
- Social interaction impairments
- Restricted, repetitive, stereotyped behaviors and interests





## Autism Spectrum Disorders

- Autistic Disorder
- Asperger's Syndrome
- Pervasive Developmental Disorder Not Otherwise Specified (PDD-NOS)

## Diagnosing ASD

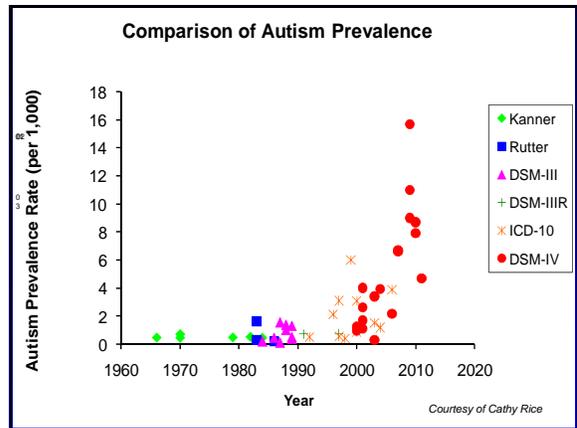
- Defined by behaviors
- No laboratory test
- Wide range of expression
- Mild to severe

– Variability in who is considered 'affected' across different epidemiologic studies

## "Autisms"

Drawing by Eytan Nisinzweig, a young man with autism

## How many people have autism?



## Best-estimate prevalence summary

- Prior to 1990: **1 in 2,000 children (autism)**
- Mid '90's: **1 in 500**
- Mid '00's: **1 in 150**
- Most recent: **~1% of children (ASD)**

## The most recent studies from around the world

From areas in	ASD Prevalence per 1,000 1 in X children	Age Year(s) born	Reference
Canada	<b>7.9</b> 1 in 126	5-17 1991-2003	Lazoff et al., 2010
Norway	<b>8.7</b> 1 in 115	7-9 years est. 1998-1999	Posserud et al., 2010
US	<b>9.0</b> 1 in 110	8 years 1998	CDC, 2009
US	<b>11.0</b> 1 in 91	3-17 years 1990-2004	Kogan et al., 2009
US	<b>4.7</b> 1 in 213	Birth-9 years 1994, 1996	Windham et al., 2011
UK	<b>15.7</b> 1 in 67	5-9 years est. 1998-2004	Baron-Cohen et al., 2009

*AVERAGE = 9.5 per 1,000 children; about 1%*

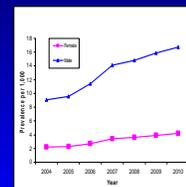
Courtesy of Cathy Rice

## “Explanations” for increase

- **Change in how autism is defined**
  - DSM IV criteria broader than previous DSM
  - Milder ASD now being counted
- **Change in how autism is identified**
  - More awareness, recognition, services
- **Changes in risk factors**

## Demographic Characteristics

- More males than females (**4:1**)
- Every race and ethnic group
- Lower rates among Hispanics
  - access to services?
- Higher rates among
  - premature infants
  - twins
  - first born
  - well-educated parents
  - older parents



## Strong Genetic Component

### Twin Studies:

- Identical twins (MZ) concordance ~70-90%
- Fraternal twins (DZ) concordance ~10-30%

### Family Studies:

- Sibling recurrence risk 10-25% or more
- Broader Autism Phenotype in family members

### Genetic Findings in 10%-20% of cases:

- Candidate genes
- Genetic syndromes
- Copy Number Variation (CNV)

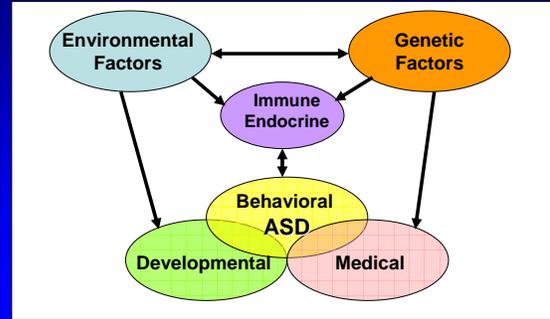
## ‘Environmental’ Component

- Identical (MZ) twins – 10%- 30% discordant for autism
- Prenatal exposures linked to autism
  - eg., thalidomide, valproic acid, infection
- Many chemicals are toxic to developing brain
- Increase in rate of autism...linked to changes in the environment?

## Etiology (Cause)

- Combination of genetic and environmental factors
- A process that typically starts early in gestation...with later "second hits"?
- Different clinical subgroups may have different causes

## Conceptual Model of Autism Etiology



## Investigating relationship between

Exposure and Outcome

## Do vaccines cause autism??

Exposure = vaccine  
Outcome = autism

## What about thimerosal?

- Thimerosal is a preservative that was used in many vaccines until 1999
- Contains 49% ethylmercury
- Mercury is neurotoxic
- Prevalence of autism increased over time period that children received increasing numbers of vaccines
- Increased number of vaccines → increase in exposure
- Could mercury in vaccines cause autism?

## IOM Report, May 2004

Immunization Safety Review: Vaccines and Autism

*...the evidence favors rejection of a causal relationship between thimerosal-containing vaccines and autism*

**PEDIATRICS**  
OFFICIAL JOURNAL OF THE AMERICAN ACADEMY OF PEDIATRICS

**Prenatal and Infant Exposure to Thimerosal From Vaccines and Immunoglobulins and Risk of Autism**

*"This study revealed no increased risk of ASD associated with receipt of thimerosal-containing vaccines. No increased risk was found for subtypes of ASD, including ASD with regression, and prenatal exposure was not associated with a risk of ASD."*

**Study to Explore Early Development for Kids 2-5 Years**

CADDRE  
California  
North Carolina  
Georgia  
Pennsylvania  
Colorado  
Maryland

SEED is looking for children with disabilities and without disabilities for a research study. You could be asked to take part.  
For more information call 1-866-470-6115 or visit: [www.autismresearch.kaiser.org/SEED](http://www.autismresearch.kaiser.org/SEED)

CDC

## Study to Explore Early Development (SEED)

- SEED is the largest collaborative scientific study to date of **risks and causes of autism**.
- 2,700** children and their parents.
- Six areas across the country: California, Colorado, Georgia, Maryland, North Carolina, Pennsylvania.

Study to Explore Early Development

## SEED Enrollment

- 2 to 5 year olds
- English and Spanish speaking
- 900 autism spectrum disorder
- 900 neurodevelopmentally impaired controls
- 900 general population controls

Study to Explore Early Development (SEED)

## SEED Research Questions

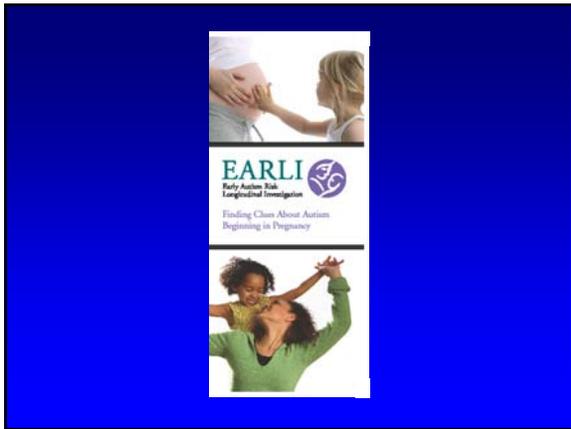
- The ASD phenotype
- Infection and immune function
- Reproductive and hormonal features
- Gastrointestinal features
- Sociodemographics
- Genetics

Study to Explore Early Development (SEED)

## SEED Data Collection

- Child development evaluation
- Child dysmorphology exam
- Biological samples: buccal cells, venous blood, hair
- Medical record abstraction
- Telephone interview
- Self administered questionnaires

Study to Explore Early Development (SEED)



# EARLI

Early Autism Risk Longitudinal Investigation

Follow 1,200 mothers of children with autism at the start of a new pregnancy

Study environmental risk factors and biomarkers during different developmental windows (prenatal, perinatal, neonatal, postnatal)

Document the development of the new baby through age three

Explore interplay of genetic susceptibility and environmental exposure

Add to current knowledge of the natural history and progression of ASD

A map of the United States with four field sites highlighted: Sacramento Valley, N. California/Kansas, Philadelphia Area, and Baltimore Area.

**Autism Center of Excellence: Funded by NIH**

- Four field sites (KPNC, UCD, Drexel, JHU)
- Data coordinating center (UC Davis)
- Central receiving lab and biosample repository (JHU)
- Scientific and community advisory committees

## EARLI Data Collection Trajectory

Older Child with ASD  
Mom  
Dad  
New Baby  
Home

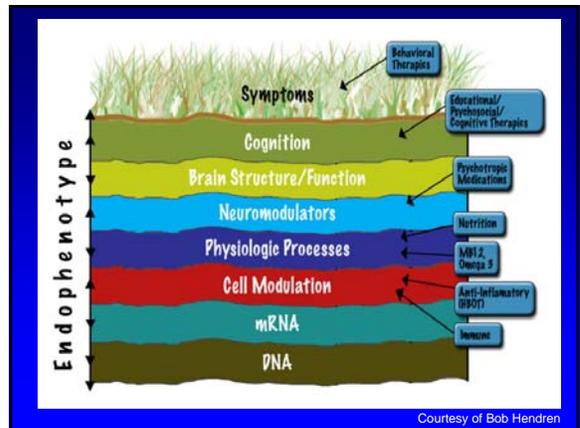
Pre-pregnancy follow-up → Eligibility interview → PREGNANCY → DELIVERY → POST-PARTUM / EARLY CHILDHOOD → Sibling @ 36 mos

Pregnancy risk and contact information monitoring pre-pregnancy

- Clinic visits in pregnancy (1<sup>st</sup>, 2<sup>nd</sup>, 3<sup>rd</sup> trimesters)
- Home visits in pregnancy (2<sup>nd</sup> trimester)
- Online weekly diaries during pregnancy
- Telephone interview in pregnancy (1<sup>st</sup>, 3<sup>rd</sup> trimester)
- Biologic sample collection at delivery (cord blood, placenta)
- Home visit after delivery (3mo)
- Clinical evaluation of baby (6mo, 12mo, 24mo, 36mo)
- Online monthly and quarterly diaries after delivery
- Telephone interview after delivery (3mo, 12mo)

## Data collection domains include:

- Household demographics
- Maternal reproductive hx
- Pregnancy - symptoms, procedures, illness episodes, mood / depression, medications, personal care product exposure, diet, lifestyle
- Baby - symptoms, procedures, illness episodes, personal care product exposure, diet, lifestyle
- Residential hx
- Home pesticide use
- Other household chemicals
- Family medical history (ASD, DD, psychiatric, immune, sensory, other)
- Parental BAP
- Medical hx and dysmorphology of older child and baby
- Developmental hx of older child
- Clinical assessment of baby
- Environmental sample: dust from home
- Biologic samples:
  - Older child: blood
  - Mother: blood, hair, urine, saliva, placenta, cord blood, breast milk
  - Father: blood, semen
  - Baby: blood, hair, meconium, urine





**THANKS to the Children and Families!!**

